

# COPY

Colby, Gary

From:  
Sent:  
To:  
Subject:

Colby, Gary  
Thursday, June 26, 2003 3:26 PM  
'Alex T. Tran (attran1@yahoo.com)'; 'Dustin W. Carr (dwcarr@sandia.gov)'  
Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a  
Cantilever"



Dear Alex and Dustin,

Thank you for your help in preparing your patent application. The final version of the application is attached to this message. Also attached are two other documents which we need executed prior to formally filing the application.

One is a Declaration and Power of attorney, in which you state your belief that you are a joint inventor of the technology claimed in the application and appoint Lucent and my firm as the attorneys who will handle the application.

The other is an Assignment and Agreement in which you grant to Lucent the rights to the patent application.

Please review, sign, and return to me by fax the Declaration and Assignment documents at your earliest convenience. We cannot file the application until we have the signed documents.

Thanks for your continuing help!

Very truly yours,

Gary

Gary D. Colby, Ph.D., J.D.  
Duane Morris LLP  
One Liberty Place  
Philadelphia PA 19103-7396  
215-979-1000  
215-979-1849 (Direct to GDC)  
215-979-1020 fax  
<http://www.DuaneMorris.com/>  
[GDColby@DuaneMorris.com](mailto:GDColby@DuaneMorris.com)



Patent  
Application.DOC (183 K)



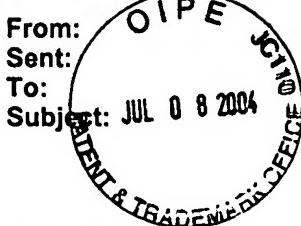
Declaration and  
Power of Attor...



Assignment and  
Agreement.DOC (...)

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Colby, Gary



From: Colby, Gary  
Sent: Wednesday, July 02, 2003 12:12 PM  
To: 'Dustin W. Carr'  
Subject: FW: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Dustin,

Please let me know if you have had a chance yet to review and sign the Assignment and Agreement attached to the message below. Please don't hesitate to contact me if you have any questions. For today only (2 July 2003), I can be reached directly at 305-960-2271. You can always leave a message using the contact information below.

Very truly yours,

Gary

Gary D. Colby, Ph.D., J.D.  
Duane Morris LLP  
One Liberty Place  
Philadelphia PA 19103-7396  
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Patent Declaration and Assignment and  
Application.DOC (183 K) Power of Attor... Agreement.DOC (...)

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U.S. PATENT & TRADEMARK OFFICE  
JUL 08 2004

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*O I P E  
JUL 08 2004  
U.S. PATENT & TRADEMARK OFFICE*

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# COPY

DUANE MORRIS

GARY D. COLBY, Ph.D., J.D.  
DIRECT DIAL: 215.979.1849  
E-MAIL: GDColby@DuaneMorris.com

[www.duanemorris.com](http://www.duanemorris.com)

July 24, 2003

Ms. Susan E. Curry  
Administrative Manager  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030

FIRM and AFFILIATE OFFICES

NEW YORK  
LONDON  
CHICAGO  
HOUSTON  
PHILADELPHIA  
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BOSTON  
WASHINGTON, DC  
ATLANTA  
MIAMI  
NEWARK  
ALLENTOWN  
WILMINGTON  
CHERRY HILL  
HARRISBURG  
BANGOR  
PRINCETON  
PALM BEACH  
WESTCHESTER

Re: U.S. Patent Application of Eugene Rosenthal et al for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"  
Lucent Ref. No. Carr 7-4  
Duane Morris Ref. No. Y0242-00289

Dear Ms. Curry:

This will confirm that the above-referenced U.S. patent application was forwarded to the U.S. Patent and Trademark Office using the Express Mail filing procedure on July 23, 2003. Formal drawings were filed with the application. A hard copy of the application package, as filed, is enclosed for your records. We neglected to send a copy of the return postcard to the PTO with your return address. We will forward a copy of the time-stamped postcard to you upon receipt. Barring unforeseen circumstances, Lucent will receive July 23, 2003, as the filing date of the application.

Our invoice for preparation and filing of the application will also follow.

We shall continue to keep you advised of all developments in connection with this application. As always, please do not hesitate to contact me if I can better explain any issue raised in this letter or the corresponding documents or if I can be of assistance in any other way.

Very truly yours,

Gary D. Colby

GDC/wls  
Enclosure

Ms. Susan E. Curry

July 24, 2003

Page 2

cc: Mr. Eugene J. Rosenthal (with enclosure)

Mr. Alex T. Tran (with enclosure)

Mr. Dustin W. Carr (with enclosure)

# COPY

Colby, Gary

From: Colby, Gary  
Sent: Wednesday, September 17, 2003 11:00 AM  
To: 'Dustin W. Carr'  
Subject: RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

JUL 08 2004  
U.S. PATENT & TRADEMARK OFFICE  
O I P E

Dear Dustin,

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Declaration and Assignment and  
Power of Attor... Agreement.DOC (...)

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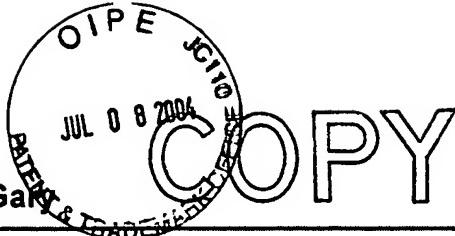
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<< File: Patent Application.DOC >> << File: Declaration and Power of Attorney.DOC >>  
<< File: Assignment and Agreement.DOC >>

**Colby, Gary**

**From:** Colby, Gary  
**Sent:** Wednesday, September 17, 2003 11:43 AM  
**To:** 'Carr, Dustin W'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Hi Dustin,

I sit in an office in Philadelphia, and don't get out to Murray Hill. If you wish, I could forward the documents to someone at Murray Hill and have them forward to me after you've signed them. If at all possible, we should have a Notary witness your signature of the Assignment. I don't know if there is a Notary at Murray Hill, but would assume there is.

Please let me know if you want me to forward any of these documents to Lucent at Murray Hill (or forward them yourself, if you wish).

Thanks for your help.

Gary

-----Original Message-----

**From:** Carr, Dustin W [mailto:[dwcarr@sandia.gov](mailto:dwcarr@sandia.gov)]  
**Sent:** Wednesday, September 17, 2003 11:40 AM  
**To:** Colby, Gary  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Gary,

I will be at Lucent in Murray Hill this coming monday afternoon. Is there a place I can drop in to sign the documents?

Dustin

-----Original Message-----

**From:** Colby, Gary [mailto:[GDColby@duanemorris.com](mailto:GDColby@duanemorris.com)]  
**Sent:** Wednesday, September 17, 2003 9:00 AM  
**To:** Dustin W. Carr  
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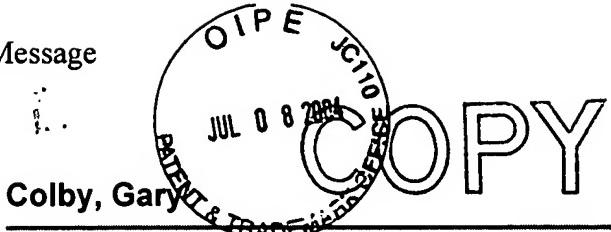
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Colby, Gary

**From:** Colby, Gary  
**Sent:** Tuesday, March 02, 2004 10:17 AM  
**To:** 'Carr, Dustin W'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Dustin,

We are approaching a 6 March 2004 deadline for forwarding to the patent office the executed declaration and assignment documents for this patent application. I have not yet received your executed documents. Please let me know when I can expect to receive them. Faxed copies would be preferred at this date, followed by the originals by mail.

I have attached MS Word copies of the documents to this message. Please let me know if I can assist you in any way. Many thanks for your assistance.

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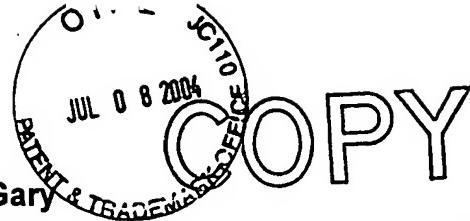
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Colby, Gary

**From:** Colby, Gary  
**Sent:** Thursday, July 01, 2004 3:35 PM  
**To:** 'Carr, Dustin W'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"  
**Importance:** High

Dear Mr. Carr,

I have been attempting to contact you regarding a Declaration for a Lucent patent application filed nearly a year ago. Please let me know how I can reach you.

Very truly yours,

Gary

Gary D. Colby, Ph.D., J.D.  
Duane Morris, LLP  
One Liberty Place  
Philadelphia, PA 19103-7396  
215-979-1849 (Direct to GDC)  
215-979-1000 (Firm's Receptionist)  
215-979-1020 Fax  
[GDColby@DuaneMorris.com](mailto:GDColby@DuaneMorris.com)  
<http://www.DuaneMorris.com>

-----Original Message-----

**From:** Colby, Gary  
**Sent:** Tuesday, March 02, 2004 10:17 AM  
**To:** 'Carr, Dustin W'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Dustin,

We are approaching a 6 March 2004 deadline for forwarding to the patent office the executed declaration and assignment documents for this patent application. I have not yet received your executed documents. Please let me know when I can expect to receive them. Faxed copies would be preferred at this date, followed by the originals by mail.

I have attached MS Word copies of the documents to this message. Please let me know if I can assist you in any way. Many thanks for your assistance.

Very truly yours,

Gary

Gary D. Colby, Ph.D., J.D.  
Duane Morris LLP  
One Liberty Place  
Philadelphia PA 19103-7396  
215-979-1000  
215-979-1849 (Direct to GDC)  
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<http://www.DuaneMorris.com/>  
GDColby@DuaneMorris.com

-----Original Message-----

**From:** Colby, Gary  
**Sent:** Wednesday, September 17, 2003 11:43 AM  
**To:** 'Carr, Dustin W'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Hi Dustin,

I sit in an office in Philadelphia, and don't get out to Murray Hill. If you wish, I could forward the documents to someone at Murray Hill and have them forward to me after you've signed them. If at all possible, we should have a Notary witness your signature of the Assignment. I don't know if there is a Notary at Murray Hill, but would assume there is.

Please let me know if you want me to forward any of these documents to Lucent at Murray Hill (or forward them yourself, if you wish).

Thanks for your help.

Gary

-----Original Message-----

**From:** Carr, Dustin W [mailto:[dwcarr@sandia.gov](mailto:dwcarr@sandia.gov)]  
**Sent:** Wednesday, September 17, 2003 11:40 AM  
**To:** Colby, Gary  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Gary,

I will be at Lucent in Murray Hill this coming monday afternoon. Is there a place I can drop in to sign the documents?

Dustin

-----Original Message-----

**From:** Colby, Gary [mailto:[GDColby@duanemorris.com](mailto:GDColby@duanemorris.com)]  
**Sent:** Wednesday, September 17, 2003 9:00 AM  
**To:** Dustin W. Carr

**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Dustin,

This application has been filed in the US Patent Office. We will soon be required to forward executed copies of the Declaration and Assignment documents referenced below. I have attached copies of these documents to this message. Please forward the executed documents to me at your earliest convenience.

Please do not hesitate to contact me if I can better explain any issue raised in this message or the corresponding documents, or if I can be of assistance in any other way.

Very truly yours,

Gary

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Gary D. Colby, Ph.D., J.D.  
Duane Morris LLP  
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Philadelphia PA 19103-7396  
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[GDColby@DuaneMorris.com](mailto:GDColby@DuaneMorris.com)

<<Declaration and Power of Attorney.DOC>> <<Assignment and Agreement.DOC>>

-----Original Message-----

**From:** Colby, Gary  
**Sent:** Wednesday, July 23, 2003 2:20 PM  
**To:** 'Dustin W. Carr'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Dustin,

We are now ready to file this application, and need to obtain copies of the Assignment and the Agreement executed by you ASAP. Please call me (215-979-1849) if you have any questions.

Thanks for your help,

Gary

-----Original Message-----

**From:** Colby, Gary  
**Sent:** Thursday, July 10, 2003 3:19 PM  
**To:** 'Dustin W. Carr'  
**Subject:** RE: Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Dustin,

Please let me know if you have had a chance yet to review and sign the Assignment and Agreement attached to the message below. Please don't hesitate to contact me if you have any questions.

Very truly yours,

Gary

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Gary D. Colby, Ph.D., J.D.  
Duane Morris LLP  
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215-979-1020 fax  
<http://www.DuaneMorris.com/>  
[GDColby@DuaneMorris.com](mailto:GDColby@DuaneMorris.com)

-----Original Message-----

**From:** Colby, Gary  
**Sent:** Thursday, June 26, 2003 3:26 PM  
**To:** Alex T. Tran (attran1@yahoo.com); Dustin W. Carr (dwcarr@sandia.gov)  
**Subject:** Lucent Patent Application for "Molecular Detection Using an Optical Waveguide Fixed to a Cantilever"

Dear Alex and Dustin,

Thank you for your help in preparing your patent application. The final version of the application is attached to this message. Also attached are two other documents which we need executed prior to formally filing the application.

One is a Declaration and Power of attorney, in which you state your belief that you are a joint inventor of the technology claimed in the application and appoint Lucent and my firm as

the attorneys who will handle the application.

The other is an Assignment and Agreement in which you grant to Lucent the rights to the patent application.

Please review, sign, and return to me by fax the Declaration and Assignment documents at your earliest convenience. We cannot file the application until we have the signed documents.

Thanks for your continuing help!

Very truly yours,

Gary

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Gary D. Colby, Ph.D., J.D.  
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<< File: Patent Application.DOC >> << File:  
Declaration and Power of Attorney.DOC >> <<  
File: Assignment and Agreement.DOC >>